

How to complete CWT's Investment Account Supplemental Form A

Supplemental Form A for Individual and Joint Investment Accounts (for a joint account, both account holders must complete a Supplemental Form A)

Last Name: _____ First Name: _____

Principal Occupation: _____

Intended Use of Account: _____

Account holder must complete:

- Last Name
- First Name
- Principal Occupation
- Intended Use of Account

IMPORTANT:
Complete a separate form for ALL co-applicant(s).

Account holder must indicate any relations to a 'Politically Exposed Foreign Person'.

Are you or any of your prescribed family members (spouse or common law partner, child, mother, father, mother-in-law, father-in-law, brother, sister, half-brother or half-sister) a Politically Exposed Foreign Person?

Yes No

A Politically Exposed Foreign Person is defined as an individual who holds or has held one of the following offices or positions in or on behalf of a foreign country: head of state or government; member of an executive council of government or member of a legislature; deputy minister or equivalent rank; ambassador or attaché or counsellor of an ambassador; military officer with a rank of general or above; president of a state-owned company or a state-owned bank; head of a government agency; judge; or leader or president of a political party represented in the legislature.

Account holder must indicate any third party to the account.

Will this account be used by or on behalf of a third party?

Yes No

*ie. Are you acting on the instructions of another individual or entity?
If yes, please provide the name, address and principal business or occupation of the third party and the nature of the relationship with that third party. If the third party is an individual, please provide their date of birth. If the third party is a corporation please also provide the incorporation number and place of incorporation.*

Attach to this form a \$1 (minimum) personalized cheque payable to Canadian Western Trust for deposit to the account. The cheque must be drawn on your personal account with a Canadian Financial Institution.

Attach to this form a copy of your identification (as described below) attested by; a commissioner of oaths, notary public, judge, magistrate, lawyer, professional accountant with one of the following designations; CA, CGA, CMA, APA, PA or RPA, dentist or medical doctor. The attestation of your identity must be based upon an original copy of one of the following pieces of identification issued by a provincial, territorial or federal government; a valid driver's licence, valid passport or provincial identification card issued by one of: the Insurance Corporation of British Columbia; Alberta Registries; or Saskatchewan Government Insurance. The attestation must be on a legible photocopy of the original identity document provided. The guarantor must include their name, profession, address, type and reference number of the identification, the name of the entity issuing the identification, the date and their signature. The attestation will not be valid if any of these requirements are not completed.

Attach:

- a \$1 personalized **cheque** payable to "Canadian Western Trust"
- a legible copy of a current government-issued **ID**.

Account holder must date and sign.

Date: _____ Signature: _____